



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

203/268  
PATENT

In re Application of:

MARCUS F. BOEHM,  
RICHARD A. HEYMAN,  
LIN ZHI, and  
STACIE CANAN KOCH

Serial No.: 08/141,496

Filed: October 22, 1993

For: COMPOUNDS HAVING SELECTIVE  
ACTIVITY FOR RETINOID X RECEPTORS,  
AND MEANS FOR MODULATION OF  
PROCESSES MEDIATED BY RETINOID X  
RECEPTORS

RECEIVED

JAN 06 1994

APPLICATION DIVISION

COMPLETION OF FILING REQUIREMENTS

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231  
Attn: Application Division, Special Handling Unit

Sir:

In response to the Notice to File Missing Parts of  
Application (PTO-1533) mailed November 9, 1993 regarding the  
above-identified Patent Application, enclosed are:

1. A copy of the Notice to File Missing Parts of  
Application;
2. An executed Combined Declaration and Power of  
Attorney in the above-identified U.S. Patent Application; and
3. A check for \$2,560 to cover the filing fee of  
\$2,430, and the surcharge fee of \$130. The filing fee has been  
calculated as follows:

CERTIFICATE OF MAILING (37 CFR 1.8a)

30623 03/01/94 08141496

12-2475 030 101 22.00DN

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being  
deposited with the United States Postal Service on the date shown below, with sufficient postage, as first  
class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C.  
20231.

Sheila M. Ople

(Typed name of person mailing paper)

Date of Mailing: December 9, 1993

050 HS 12/28/93 08141496

1 101 (Signature of Person mailing paper)

050 MS 12/28/93 08141496

050 MS 12/28/93 08141496

050 MS 12/28/93 08141496

050 MS 12/28/93 08141496

1 102 942.00 CK  
1 103 528.00 CK  
1 104 230.00 CK  
1 105 170.00 CK



203/268  
PATENT

TRADE


CLAIMS AS FILED									
	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	44 - 20	-	24	X	\$11	\$22	-		\$ 528
Independent Claims	16 - 3	-	13	X	\$37	\$74	-		\$ 962
Multiple Dependent Claims	1			X	\$115	\$230	-		\$ 230
					BASIC FEE			\$355	\$ 710
					TOTAL FEE				\$2,430

The Commissioner is hereby authorized to charge any additional fees which may be required by this communication, or credit any overpayment, to Deposit Account No. 12-2475.

Respectfully submitted,  
LYON & LYON

Dated: December 9, 1993

By:

  
Hope E. Melville  
Reg. No. 34,874

LYON & LYON  
611 West Sixth Street, 34th Fl.  
Los Angeles, California 90017  
(213) 489-1600



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
--------------------	-------------	-----------------------	------------------------

08/141,496 10/22/93 BOEHN

M 203268

03A1/1109

HOPE E. MELVILLE  
LYON & LYON  
611 WEST 6TH ST., 34TH FL.  
LOS ANGELES, CA 90017

RECEIVED

JAN 06 1994

DATE MAILED: 0000

11/09/93

NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 120 for large entities or \$ 60 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 120.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 110 to complete the basic filing fee.

2. ☒ Additional claim fees of \$ 110 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3. ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

\_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.

8. ☐ A \$ \_\_\_\_\_ processing fee is required for returned checks. (37 CFR 1.21(m)).

9. ☐ Your filing receipt was mailed in error because check was returned without payment.

10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

11. ☐ Other.

Direct the response and any questions about this notice to \_\_\_\_\_, Application Processing Division, Special Processing and Correspondence Branch (703) 308-1292.

**A copy of this notice MUST be returned with the response.**